

10/031304

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of: ALLGEUER, Thomas T.; BENSASON, Selim; CHUM, Pak-Wing S.; DEGROOT, Jacquelyne A.; KELCH, Robert H.; LIANG, Wenbin; TORRES, Antonio; WAGNER, Werner

In re Application of: Thomas T. ALLGEUER et al.

Filed: January 15, 2002

For: FRINGED SURFACE STRUCTURES OBTAINABLE IN A COMPRESSION MOLDING PROCESS

Serial No.: 10/031,304

International Application No.: PCT/US00/19320

International Filing Date: July 17, 2000

Priority Date Claimed: July 16, 1999

Group Art Unit: Unknown

Examiner: Unknown

Atty. Dkt: ADVA:012

EXPRESS MAIL NUMBER: EV044387141US

I hereby certify that this paper or fee is being deposited with the United States Postal Service "EXPRESS MAIL POST OFFICE TO ADDRESSEE" service, postage prepaid, under 37 CFR 1.10 on the date indicated below and is addressed to: Assistant Commissioner of Patents, Washington, D.C. 20231.

Marty Bower
Signature

11-18-02
Date

Assistant Commissioner for Patents
Box PCT
Washington, DC 20231

11/21/2002 LLANDGRA 00000049 10031304

01 FC:1460 Dear Sir: 130.00 OP

In response to the Notification of Defective Response mailed October 18, 2002, Applicant herewith submits to the United States Designated/Elected Office (DO/EO/US) the following items:

1. A statement pursuant to 37 CFR 1.1497(d) by Werner Wagner, the newly added inventor not named in the International Application;
2. A combined statement by the assignee from the original inventors (including the assignments evidencing chain of title to the Assignee) and a statement consenting to the addition of Werner Wagner as an inventor, pursuant to 37 CFR 1.497(d) and 37 CFR 3.73; and
3. A check in the amount of \$130.00 pursuant to 37 CFR 1.497. and 37 CFR 1.17(i).

If the check is inadvertently omitted, or should any additional fees under 37 CFR §1.16 to 1.21, §1.492, or any other rule, be required for any reason relating to the enclosed materials, or should an overpayment be included herein, the Commissioner is authorized to deduct or credit said fees from or to Deposit Account No. 10-1205.

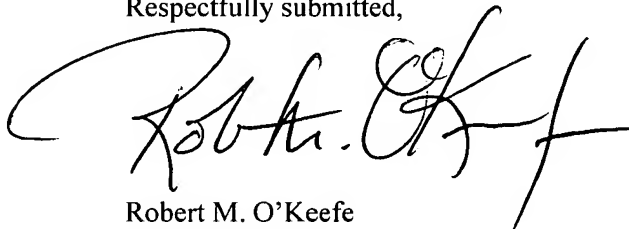
Applicant will be submitting the assignments to the US Patent Office for recording.

This is a SECOND or SUBSEQUENT submission of items concerning a filing under 35 U.S.C. 371.

In accordance with 37 CFR 1.136(a)(3), the Commissioner is authorized to treat any concurrent or future reply that requires a petition for an extension of time under 37 CFR 1.126(a) to be timely, as incorporating a petition for extension of time for the appropriate length of time, and the Commissioner is authorized to deduct any requisite fees under 37 CFR 1.16 to 1.21 from Deposit Account No. 10-1205.

Please forward all correspondence to the undersigned at the address below.

Respectfully submitted,

A handwritten signature in black ink, appearing to read "Robert M. O'Keefe", with a large, stylized flourish extending from the end of the signature.

Robert M. O'Keefe
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Attorney for Applicants

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 UNITED STATES PATENT AND TRADEMARK OFFICE

O'Keefe, Egan & Peterman, LLP

 Commissioner for Patents, Box PCT
 United States Patent and Trademark Office
 Washington, D.C. 20231
 www.uspto.gov

U.S. APPLICATION NUMBER NO.	FIRST NAMED APPLICANT	ATTY. DOCKET NO.
10/031,304	Thomas T Allgeuer	ADVA:005
INTERNATIONAL APPLICATION NO.		
PCT/US00/19320		
LA. FILING DATE	PRIORITY DATE	
07/17/2000		

 O'Keefe Egan & Peterman
 1101 Capital of Texas Highway South
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 Austin, TX 78746

CONFIRMATION NO. 9486

371 FORMALITIES LETTER



OC00000008973297

Date Mailed: 10/18/2002

#5

NOTIFICATION OF DEFECTIVE RESPONSE

The following items have been submitted by the applicant or the IB to the United States Patent and Trademark Office as an Elected Office (37 CFR 1.495):

- U.S. Basic National Fee
- Copy of IPE Report
- Copy of references cited in ISR
- Copy of the International Application
- Copy of the International Search Report
- Oath or Declaration
- Preliminary Amendments

DOCKETED	
BY: DSF	
DESC: Int. of Inv. R. Sec.	
DATES: 11-12-02	1 mo.
	3 mo.
	6 mo.
DOCKET <input checked="" type="checkbox"/>	ATTY REVIEWED <input type="checkbox"/>
CAL <input checked="" type="checkbox"/>	
FILE <input checked="" type="checkbox"/>	

Applicant's response filed 08/09/2002 is hereby acknowledged. The following requirements set forth in the NOTIFICATION of MISSING REQUIREMENTS mailed 04/05/2002 have not been completed.

The following items **MUST** be furnished within the period set forth below in order to complete the requirements for acceptance under 35 U.S.C. 371:

- Oath or declaration of the inventors, in compliance with 37 CFR 1.497(a) and (b), identifying the application by the International application number and international filing date. The current oath or declaration does not comply with 37 CFR 1.497(a) and (b) in that it:
 - is not executed in accordance with either 37 CFR 1.66 or 37 CFR 1.68.
 - Werner Wagner is not listed on the published application as an inventor. In addition, I do not have a Form IB306 showing that Wagner was added as an inventor. If you are in possession of a Form IB306 please send me a copy. Otherwise, you will have to file a petition to add the inventor.

Applicant is required to complete the response within a time limit of ONE MONTH from the date of this Notification or within the time remaining in the response set forth in the Notification of Missing Requirements, whichever is the longer. No extension of this time limit may be granted under 37 CFR 1.136, but the period for response set in the Notification of Missing Requirements may be extended under 37 CFR 1.136(a).

Applicant is reminded that any communications to the United States Patent and Trademark Office must be mailed

to the address given in the heading and include the U.S. application no. shown above (37 CFR 1.5)

*A copy of this notice **MUST** be returned with the response.*

WINSTON M ALVARADO

Telephone: (703) 305-6421

PART 1 - ATTORNEY/APPLICANT COPY

U.S. APPLICATION NUMBER NO.	INTERNATIONAL APPLICATION NO.	ATTY. DOCKET NO.
10/031,304	PCT/US00/19320	ADVA:005

FORM PCT/DO/EO/916 (371 Formalities Notice)